

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

ATTY. DOCKET NO.

ST9-99-184

SERIAL NO.

To Be Assigned

APPLICANT: David E. Simmen

FILING DATE: 9/26/2000

GROUP

JC917 U.S. PTO  
09/669556

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>CW</i>		5,995,957	11/30/99	Beavin et al.			
<i>CW</i>		5,960,428	9/28/99	Lindsay et al.			
<i>CW</i>		5,956,706	9/21/99	Carey et al.			
<i>CW</i>		5,899,986	5/4/99	Ziauddin			
<i>CW</i>		5,864,841	1/26/99	Agrawal et al.			
<i>CW</i>		5,761,653	6/2/98	Schiefer et al.			
<i>CW</i>		5,542,073	7/30/96	Schiefer et al.			

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12/8/00  
A.W.

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	Translation	
							YES	NO
<i>CW</i>		EP 0875 838 A2	4/11/98	Europe				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>CW</i>	1.	"Filter Factor Estimation for Non-numeric Datatypes", IBM Technical Disclosure Bulletin; Article 41575, November 1998, pp. 1505-1507.
<i>CW</i>	2.	"Integrated Buffer Management and Query Optimization Strategy for Relational Databases", IBM Technical Disclosure Bulletin; Vol. 32, No. 12, May 1990 pp. 253-257.
<i>CW</i>	3.	"Adaptive Path Selection for Query with Input Variables", IBM Technical Disclosure Bulletin; Vol. 32, No. 4A, September 1989, pp. 265-267.
<i>CW</i>	4.	"Optimal Processor Allocation for Pipelined Hash Joins", IBM Technical Disclosure Bulletin; Vol. 37, No. 02A, February 1994, pp. 455-457.

EXAMINER

*Andrew J. [Signature]*

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS, CONT'D	DOCKET NO.: ST9-99-184	Serial No.: To Be Assigned
	APPLICANT: David E. Simmen	
	FILING DATE: 9/26/2000	GROUP:

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc., Con'd)

5.	Najjar, F. et al., "Cardinality estimation of distributed join queries", Proceedings. Tenth International Workshop on Database and Expert Systems Applications. DEXA 99, pp. 66-70, Published: Los Alamitos, CA, USA, 1999, xxii+937 pp. Abstract.	
6.	Oommen, B.J. et al., "Query result size estimation using a novel histogram-like technique: the rectangular attribute cardinality map", Proceedings. IDEAS' 99. International Database Engineering and Applications Symposium (Cat. No. PR00265), pp. 3-15, Published: Los Alamitos, CA, USA, 1999, xiii+467 pp. Abstract.	
7.	Zhong, Wu et al., "An algorithm for optimization of distributed queries", Chinese Journal of Computers, vol. 20, no. 11, pp. 1024-1033, Nov. 1997. Abstract.	
8.	Wan Sup Cho et al. "A new method for estimating the number of objects satisfying an object-oriented query involving partial participation of classes", Information Systems, vol. 21, no. 3, pp. 253-267, May 1996. Abstract.	
9.	Ciaccia, P. et al., "Domains and active domains: what this distinction implies for the estimation of projection sizes in relational databases", IEEE Transactions on Knowledge and Data Engineering, vol. 7, no. 4, pp. 641-655, Aug. 1995. Abstract.	
10.	Swami, A. et al., "On the estimation of join result sizes", Advances in Database Technology - EDBT '94. 4 <sup>th</sup> International Conference on Extending Database Technology. Proceedings, pp. 287-300, Published: Berlin, Germany, 1994, xi+406 pp. Abstract.	
11.	Segev, A. et al., "Selectivity estimation of temporal data manipulations", Information Sciences, vol. 74, no. 1-2, pp. 111-149, October 15, 1993. Abstract.	
12.	Meng Chang Chen et al., "Summary data estimation using decision trees", Knowledge discovery in databases, pp. 309-323, Published: Cambridge, MA, USA, 1991, xii+525 pp. Abstract.	

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*Cindy Nguyen*

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